

08/21/00
Jc490 U.S. PTO

8-22-00

A
Jc490 U.S. PTO
09/643073
08/21/00

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:
Amanat, I., and Bundy, M.

Group Art Unit: *Unassigned*

Serial No.: *Unassigned*

Filing Date: August 21, 2000

Examiner: *Unassigned*

Title: APPARATUS AND METHOD FOR
LOAD BALANCING AMONG
DATA COMMUNICATIONS PORTS
IN AUTOMATED SECURITIES
TRADING SYSTEMS

Docket No.: T30411US

Box Patents

Assistant Commissioner for Patents
Washington, D.C. 20231

Certificate of Mailing by Express Mail

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as Express Mail No. EL496867538US in an envelope addressed to: Box Patents, Assistant Commissioner for Patents, Washington, D.C. 20231, on the date of signature below.

Signature *Rexxy Mess*

Date 8-21-00

TRANSMITTAL LETTER

Transmitted herewith for filing in the above-identified case are the following documents:

- ☒ Twenty-nine (29) pages of Specification, including twenty (20) claims and one (1) Abstract page;
- ☒ Thirteen (13) sheets of *Informal* drawings (Figs. 1-13);
- ☒ Twenty-one (21) pages representing Appendix I;
- ☒ Combined Declaration and Power of Attorney;
- ☒ Verified Statement Claiming Small Entity Status (37 C.F.R. 1.9(f) & 1.27(c);
- ☒ Assignment Recordation Coversheet *with* Original Assignment;
- ☒ Check in the amount of \$345.00 to cover the Application Filing fee;
- ☒ Check in the amount of \$40.00 to cover the Assignment Recordation fee; and
- ☒ Return-receipt postcard.

The Commissioner is hereby authorized to charge any deficiency or credit any overpayment required under 37 CFR §§ 1.16 – 1.17 to Deposit Account No. 01-2511. A duplicate copy of this transmittal is enclosed for this purpose.

Respectfully submitted,

Date:

8/21/00

By:

John R. Biggers

John R. Biggers

Reg. No. 44,537

Arnold & Associates

2603 Augusta, Suite 800

Houston, Texas 77057

Tel. (713) 972-1150

Fax (713) 972-1180

ATTORNEY FOR APPLICANTS